

Time - Evolving Signal Analysis

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- The problem of recovering an evolving signal from a set of samples taken at different time instances is motivated by research questions emerging in dynamical systems.

Lu, Vetterli. *Spatial super-resolution of a diffusion field by temporal oversampling in sensing networks*. IEEE Int. Conf. Acoustics, Speech and Signal Proc. 2009.

- Sampling problems in dynamical systems: eg. sampling of air pollution, wireless networks, temperature distribution over a metropolitan area etc.
- We state the problem of spatio-temporal sampling for different classes of functions (signals), and provide specific reconstruction results.



What is Dynamical Sampling?

Let f describe the initial state of a physical system on domain D .
Over time, the system evolves to the state

$$f_t = A_t f,$$

where $\{A_t\}_{t \geq 0}$ is a family of evolution operators.

- at time instances t_i : sampling sets $X_i \subset D$
- S_{X_i} - corresponding downsampling operator
(obtains insufficiently many samples for 'successful' recovery)

The fundamental question in dynamical sampling

Is the recovery of the initial state f possible from the coarsely under-sampled initial state and its altered states $f_t = A_t f$ at time instances $\{t_i : i = 1, \dots, L\}$?

Goal: Reconstruct $f = f_0$ from

$$S_{X_0}(f), S_{X_1}(A_{t_1}f), \dots, S_{X_L}(A_{t_L}f).$$



Conditions ...

... on evolution operators $A_i = A_{t_i}$, sampling sets X_i , number L of repeated subsampling harvests, and sampling time instances t_i

Recovery of the initial state $f = f_0$ is possible, if we have:

- IS *Invertibility sampling property.* Within a class of signals, any signal h is associated with a samples data set $\{S_{X_i}(A_i h_i)\}$ which uniquely determines h .
- SS *Stability sampling property.* Within a class of signals, given any two signals h, \tilde{h} , the following two norms,

$$\|h - \tilde{h}\|_p^2 \quad \text{and} \quad \sum_{i=0}^L \|S_{X_i} A_i (h - \tilde{h})\|_{\ell^p}^2 \quad \text{are equivalent.}$$



Convolution operators in Shift-Invariant Spaces (I)

Example: If $\text{supp } \hat{f} \in [-1/2, 1/2]$ and $f \in L^1 \cap L^2(\mathbb{R})$, then

$$(\text{Shannon Sampling Thm}) \quad f(x) = \sum_k f(k) \text{sinc}(x - k).$$

Let $\varphi \in \{f \mid \sum_{k \in \mathbb{Z}} \sup_{0 \leq x \leq 1} |f(x + k)| < \infty\} \cap C$.

Shift-Invariant space

$$V(\varphi) := \{c * \varphi = \sum_{k \in \mathbb{Z}} c_k \varphi(\cdot - k) \mid c = (c_k) \in \ell^2(\mathbb{Z})\} \quad (2)$$

- If $0 < M_1 \leq \sum_{k \in \mathbb{Z}} |\hat{\varphi}(\xi + k)|^2 \leq M_2 < \infty$, then

every $f \in V(\varphi)$ can be recovered from the samples $f(\mathbb{Z})$.

Question: Let $f = f_0 \in V(\varphi)$ and $f_j = a * \dots * a * f$; can we recover f from subsamples $\{f(m\mathbb{Z}), f_1(m\mathbb{Z}), f_2(m\mathbb{Z}), \dots\}$?

Answer: Yes.



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Convolution operators in Shift-Invariant Spaces (II)

Fact: Let $\Phi_j = \varphi_j|_{\mathbb{Z}}$, where $\varphi_j = a^j * \varphi$, $0 \leq j \leq L$. Then

$$\hat{\Phi}_j \in C([0, 1]).$$

Theorem

Every $f \in V(\varphi)$ can be recovered in a stable way from the measurements $y_j = (a^j * f)|_{m\mathbb{Z}}$, $0 \leq j \leq m-1$, if and only if $\det \mathcal{A}_m(\xi) \neq 0$ for all $\xi \in [0, 1]$, where

$$\mathcal{A}_m(\xi) = \begin{pmatrix} \hat{\Phi}_0\left(\frac{\xi}{m}\right) & \hat{\Phi}_0\left(\frac{\xi+1}{m}\right) & \dots & \hat{\Phi}_0\left(\frac{\xi+m-1}{m}\right) \\ \hat{\Phi}_1\left(\frac{\xi}{m}\right) & \hat{\Phi}_1\left(\frac{\xi+1}{m}\right) & \dots & \hat{\Phi}_1\left(\frac{\xi+m-1}{m}\right) \\ \vdots & \vdots & \vdots & \vdots \\ \hat{\Phi}_{m-1}\left(\frac{\xi}{m}\right) & \hat{\Phi}_{m-1}\left(\frac{\xi+1}{m}\right) & \dots & \hat{\Phi}_{m-1}\left(\frac{\xi+m-1}{m}\right) \end{pmatrix}.$$

Proof:

$$\text{Due to } \mathcal{F}(f_j|_{m\mathbb{Z}})(\xi) = \frac{1}{m} \sum_{l=0}^{m-1} \hat{c}\left(\frac{\xi+l}{m}\right) \hat{\Phi}_j\left(\frac{\xi+l}{m}\right). \quad (3)$$



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Special case: $A_i = A$ in a separable Hilbert space \mathcal{H}

Let \mathcal{H} be a separable (complex) Hilbert space, $f \in \mathcal{H}$ be an **unknown** vector and $f_n \in \mathcal{H}$ be the state of the system at time n .

We assume:

$$f_0 = f, \quad f_n = A f_{n-1} = A^n f$$

where A is a **known** bounded operator on \mathcal{H} .

Given the measurements:

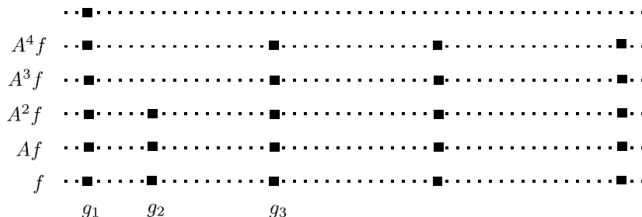
$$\langle A^n f, g \rangle \text{ for } 0 \leq n < L(g), \quad g \in \mathcal{G} \quad (1)$$

where \mathcal{G} is a countable set of vectors in \mathcal{H} and $L : \mathcal{G} \mapsto \mathbb{N} \cup \{\infty\}$ a function.

Main problem:

Recover the vector $f \in \mathcal{H}$ from measurements (1)

Special case: $A_i = A$ in a separable Hilbert space \mathcal{H}



In particular we are interested in **stable recovery** when for every $f \in \mathcal{H}$

$$C_1 \|f\|^2 \leq \sum_{g \in \mathcal{G}} \sum_{0 \leq n < L(g)} |\langle A^n f, g \rangle|^2 \leq C_2 \|f\|^2$$

where $C_1, C_2 > 0$ are absolute constants.

Special case: $A_i = A$ in a separable Hilbert space III

Using the fact that

$$\langle Af, g \rangle = \langle f, A^*g \rangle$$

we get the following equivalent formulation.

Proposition

- 1 Any $f \in \mathcal{H}$ can be recovered from $\{\langle A^n f, g \rangle\}_{g \in \mathcal{G}, 0 \leq n < L(g)}$ if and only if the system

$$\{(A^*)^n g\}_{g \in \mathcal{G}, 0 \leq n < L(g)}$$

is *complete* in \mathcal{H} .

- 2 Any $f \in \mathcal{H}$ can be recovered from $\{\langle A^n f, g_i \rangle\}_{g \in \mathcal{G}, 0 \leq n < L(g)}$ in a stable way if and only if the system

$$\{(A^*)^n g\}_{g \in \mathcal{G}, 0 \leq n < L(g)}$$

is a *frame* in \mathcal{H} .

Special case: $A_i = A$ in a separable Hilbert space IV

Theorem

If $\{A^n g\}_{g \in \mathcal{G}, n \geq 0}$ is a frame in \mathcal{H} then for every $f \in \mathcal{H}$,

$$(A^*)^n f \rightarrow 0 \text{ as } n \rightarrow \infty. \quad (2)$$

Corollary

For any unitary operator $A : \mathcal{H} \rightarrow \mathcal{H}$ and any set of vectors $\mathcal{G} \subset \mathcal{H}$, $\{A^n g\}_{g \in \mathcal{G}, n \geq 0}$ is not a frame in \mathcal{H} .

We don't know if (2) is a sufficient condition for the existence of a frame by iterations.

Theorem

If A is a contraction (i.e. $\|A\| \leq 1$), and (2) holds, then we can choose $\mathcal{G} \subseteq \mathcal{H}$ such that $\{A^n g\}_{g \in \mathcal{G}, n \geq 0}$ is a Parseval frame.

Frames = generalization of orthonormal bases

Frame definition

A sequence $F = \{\mathbf{f}_i\}_{i \in I}$ (I -count. index set) of $\mathcal{H} \setminus \{0\}$ is a *frame* for \mathcal{H} , if there exist $0 < C \leq D < \infty$ such that

$$C\|\mathbf{f}\|^2 \leq \sum_{i \in I} |\langle \mathbf{f}, \mathbf{f}_i \rangle|^2 \leq D\|\mathbf{f}\|^2 \text{ for all } \mathbf{f} \in \mathcal{H}. \quad (4)$$

- The *frame operator* $S\mathbf{f} := \sum_{i \in I} \langle \mathbf{f}, \mathbf{f}_i \rangle \mathbf{f}_i$ is invertible
- For each frame F of \mathcal{H} there exists at least one *dual* frame $G = \{\mathbf{g}_i\}_{i \in I}$, satisfying

$$\mathbf{f} = \sum_{i \in I} \langle \mathbf{f}, \mathbf{f}_i \rangle \mathbf{g}_i = \sum_{i \in I} \langle \mathbf{f}, \mathbf{g}_i \rangle \mathbf{f}_i \text{ for all } \mathbf{f} \in \mathcal{H}. \quad (5)$$

- The set $\{\mathbf{g}_i = S^{-1}\mathbf{f}_i\}_{i \in I}$ is called the *canonical dual* frame.
- The frame F is *C-tight*, if $C = D$ in (4), and

$$\mathbf{f} = \frac{1}{C} \sum_{i \in I} \langle \mathbf{f}, \mathbf{f}_i \rangle \mathbf{f}_i = \frac{1}{C} S\mathbf{f} \text{ for all } \mathbf{f} \in \mathcal{H}. \quad (6)$$



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Dynamical Frames and Canonical Duals in Hilbert spaces

Consider $\mathcal{G} = \{\mathbf{f}_i\}_{i \in I} \subseteq \mathcal{H}$, and a bounded operator $A : \mathcal{H} \rightarrow \mathcal{H}$.

$$\text{If } F_{\mathcal{G}}^{\mathbf{L}}(A) := \cup_{i \in I} \{A^j \mathbf{f}_i \mid \mathbf{f} \in \mathcal{G}\}_{j=0}^{L_i} \text{ is a frame for } \mathcal{H}, \quad (7)$$

then we call (7) a *dynamical frame*, gen. by A and \mathbf{f}_i .

Theorem 1

Let $F_{\mathcal{G}}^{\mathbf{L}}(A)$ be a dynamical frame for \mathcal{H} , with frame operator S . The canonical dual frame of $F_{\mathcal{G}}^{\mathbf{L}}(A)$ is also dynamical, generated by $B := S^{-1}AS$ and $\mathbf{g}_i = (S^{-1}\mathbf{f}_i)$ i.e.

$$\mathbf{f} = \sum_{i \in I} \sum_{j=0}^{L_i} \langle \mathbf{f}, A^j \mathbf{f}_i \rangle B^j \mathbf{g}_i \quad \text{for every } \mathbf{f} \in \mathcal{H}. \quad (8)$$



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Dynamical Frames and Scalability

(joint work with Y. Kim, arxiv: 1608.05622v1)

If the frame F is tight then $S^{-1} \equiv I$. In general, computing S^{-1} can be a challenging problem.

If there exist scaling coefficients $w_i \geq 0$, $i \in I$, such that $F_w := \{w_i \mathbf{f}_i\}_{i \in I}$ is a tight frame, then we call F a *scalable* frame.

Property

Say $F_{\mathcal{G}}^L(A) = \cup_{i \in I} \{A^j \mathbf{f}_i | \mathbf{f} \in \mathcal{G}\}_{j=0}^{L_i}$ is a scalable frame; then

$$\mathbf{f} = \sum_{i \in I} \sum_{j=0}^{L_i} w_{ij}^2 \langle \mathbf{f}, A^j \mathbf{f}_i \rangle A^j \mathbf{f}_i \quad \text{for every } \mathbf{f} \in \mathcal{H}. \quad (9)$$

Example: $\mathbf{e}_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$, $A = \begin{pmatrix} \cos(2\pi/3) & -\sin(2\pi/3) \\ \sin(2\pi/3) & \cos(2\pi/3) \end{pmatrix}$.

$\{\mathbf{e}_1, A\mathbf{e}_1, A^2\mathbf{e}_1\}$ is a scalable frame for \mathbb{R}^2 (mercedes).



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Dynamical Frames and Scalability in Finite Dimensions

Let $\mathcal{H} = \mathbb{R}^n$, and let $\{\mathbf{e}_j\}_{j=1}^n$ be the std orthonormal basis of \mathcal{H} .

Theorem *

Let $\mathcal{G} = \{\mathbf{e}_{k_1}, \dots, \mathbf{e}_{k_p}\}$, $p < n$, and $\mathbf{L} = (L_1, \dots, L_p) \in \mathbb{Z}_+^p$.

Let A be an operator on \mathcal{H} .

If B is a unitary operator on \mathcal{H} , then TFAE

- $\cup_{s=1}^p \{A^j \mathbf{e}_{k_s}\}_{j=0}^{L_s}$ is a (scalable) frame
- $\cup_{s=1}^p \{C^j \mathbf{g}_s\}_{j=0}^{L_s}$ is a (scalable) frame,

where $C := B^{-1}AB$, and $\mathbf{g}_s := B^{-1}\mathbf{e}_{k_s}$, $s = 1, \dots, p$.

Note: if $A = URU^T$ is a Schur decomposition of A , with a unitary matrix U and a matrix of Schur form R , then TFAE

- (i) $\cup_{s=1}^p \{A^j \mathbf{e}_{k_s}\}_{j=0}^{L_s}$ is a (scalable) frame for \mathcal{H} ,
- (ii) $\cup_{s=1}^p \{R^j \mathbf{v}_s\}_{j=0}^{L_s}$ is a (scalable) frame for \mathcal{H} .



Scalable Dynamical Frames: Normal Operators in \mathbb{R}^n (I)

Let $A = UDU^T$ be a normal operator on \mathcal{H} , $D = \text{diag}(a_1, \dots, a_n)$, U -orthogonal;

let \mathbf{e}_{l_k} , $k = 1, \dots, s$, $s \leq n$, be standard basis vectors for \mathcal{H} .

TFAE:

- $F_{\mathcal{G}}(A) = \cup_{s=1}^p \{A^j \mathbf{e}_{l_s} \mid j = 0, 1, \dots, L_s\}$ is a scalable frame
- $\cup_{s=1}^p \{D^j \mathbf{v}_s \mid j = 0, 1, \dots, L_s\}$ is a scalable frame for \mathbb{R}^n

where $\mathbf{v}_s = U^T \mathbf{e}_{l_s} = (x_s(1), \dots, x_s(n))^T$, $1 \leq s \leq p$.

The scaling coefficients $w_{s,t}$ of $F_{\mathcal{G}}(A)$ are solutions to (*):

$$\sum_{s=1}^p \|x_s(i)\|^2 \left[w_{s,0}^2 + w_{s,1}^2 \|a_i\|^2 + \dots + w_{s,L_s}^2 \|a_n\|^{2L_s} \right] = 1,$$

$$\sum_{s=1}^p x_s(i) \bar{x}_s(j) \left[w_{s,0}^2 + w_{s,1}^2 a_i \bar{a}_j + \dots + w_{s,L_s}^2 (a_i \bar{a}_j)^{L_s} \right] = 0,$$

for all $i, j = 1, \dots, n$, $i \neq j$.



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Example 1: Let

$$D = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{pmatrix}, \quad ; \mathbf{v}_1 = \begin{pmatrix} 1 \\ -1 \\ 1 \end{pmatrix}, \quad \mathbf{v}_2 = \begin{pmatrix} 1 \\ 1 \\ -1 \end{pmatrix}.$$

The frame $\{\mathbf{v}_1, D\mathbf{v}_1, \mathbf{v}_2, D\mathbf{v}_2\}$ is a scalable frame in \mathbb{R}^3 , with weights $w_{k,i} = 0.5$, $0 \leq i \leq 1$, $1 \leq k \leq 2$.

Example 2: Let $\mathbf{v}_1 = (x_1, x_2, x_3)^T$, $\mathbf{v}_2 = (y_1, y_2, y_3)^T$ and $D = \text{diag}(a, b, 0)$, where $ab \neq 0$, $1 + ab < 0$. Set

$$x_1 = \pm \frac{1}{a\sqrt{b^2(a^2 + 1) - a^2(1 + ab)}}, \quad x_2 = \pm \frac{1}{b\sqrt{a^2(b^2 + 1) - b^2(1 + ab)}},$$

$$x_3 = \pm \frac{\sqrt{-(1 + ab)}}{ab}, \quad \text{and} \quad y_3 = \pm \sqrt{1 - x_3^2}, \quad y_1 = -\frac{x_1 x_3}{y_3}, \quad y_2 = -\frac{x_2 x_3}{y_3}.$$

Then $\{\mathbf{v}_1, A\mathbf{v}_1, \mathbf{v}_2, A\mathbf{v}_2, A^2\mathbf{v}_2\}$ is a tight frame of \mathbb{R}^3 .



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Theorem 2

Let $D = \text{diag}(a_1, \dots, a_n)$, where $a_1, \dots, a_n \in \mathbb{R}$, and let $\mathbf{v}_s = (x_s(1), \dots, x_s(n))^T \in \mathcal{H}$, $s \in \{1, \dots, p\}$, $p \geq 1$.

The sequence $\cup_{s=1}^p \{D^j \mathbf{v}_s \mid j = 0, 1, \dots, L_s\}$ is a scalable frame for \mathcal{H} if and only if there exist scaling coefficients $w_{s,0}, w_{s,1}, \dots, w_{s,L_s}$, $s = 1, \dots, p$, which satisfy conditions (*).

- $\{D^j \mathbf{v}\}_{j=0}^L$ is never a scalable frame for \mathbb{R}^n (we need $p \geq 2$)



By Theorem* and Theorem 2, the following result holds true:

Theorem 3

Let A be a normal operator for \mathcal{H} and $A = UDU^T$ be the orthogonal diagonalization of A . Let \mathbf{e}_{l_k} , $1 \leq k \leq s$ be standard basis vectors for some $s \leq n$.

The sequence $\cup_{k=1}^s \{A^j \mathbf{e}_{l_k} \mid 0 \leq j \leq L_s\}$ is a scalable frame of \mathcal{H} if and only if there exist scaling coefficients $w_{k,0}, w_{k,1}, \dots, w_{k,L_k}$, $1 \leq k \leq s$, which satisfy conditions (*).



Scalable Dynamical Frames: Block-Diagonal Operators (I)

Example

Let $a, b, c, d \in \mathbb{R}$, $a > 0$ and $abcd \neq 0$. Let

$$A = \begin{pmatrix} a & c \\ b & d \end{pmatrix} \in \mathbb{R}^{2 \times 2}, \quad \mathbf{e}_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \in \mathbb{R}^2.$$

$\{\mathbf{e}_1, A\mathbf{e}_1, A^2\mathbf{e}_1\}$ is a scalable frame for \mathbb{R}^2 iff $0 < -\frac{ac}{bd} < 1$.

$$\text{Let } A = \begin{pmatrix} a & c & 0 & 0 \\ b & d & 0 & 0 \\ 0 & 0 & a & c \\ 0 & 0 & b & d \end{pmatrix}, \quad \mathbf{f}_1 = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix}, \quad \mathbf{f}_2 = \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \end{pmatrix}, \quad 0 < -\frac{ac}{bd} < 1.$$

Then $\{\mathbf{f}_1, A\mathbf{f}_1, A^2\mathbf{f}_1, \mathbf{f}_2, A\mathbf{f}_2, A^2\mathbf{f}_2\}$ is a scalable frame for \mathbb{R}^4 .



Scalable Dynamical Frames: Block-Diagonal Operators (II)

Theorem 4

Let F_i be a (scalable) frame for \mathbb{R}^{n_i} , $i = 1, \dots, p$. Let

$$G := \begin{pmatrix} F_1 & 0 & 0 \\ 0 & \ddots & 0 \\ 0 & 0 & F_p \end{pmatrix}. \quad (10)$$

Then the system G is a (scalable) frame for \mathbb{R}^N ,
 $N = n_1 + \dots + n_p$.

Let $A_s \in \mathbb{R}^{n_s \times n_s}$, $1 \leq s \leq p$, $\sum_{s=1}^p n_s = N$, and let $A \in \mathbb{R}^{N \times N}$ be a block-diagonal matrix with A_1, \dots, A_p on its diagonal.

Let $\mathbf{v} \in \mathbb{R}^{n_s}$. $\mathbf{v} \in \mathbb{R}^{n_s}$ is *well-embedded* in $\mathbf{f} \in \mathbb{R}^N$ w.r.t. A , if $\mathbf{f}(j) = \mathbf{v}(i)$, when $j = n_1 + \dots + n_s + i$, and $\mathbf{f}(j) = 0$, otherwise.



Theorem 5

Let $A_s \in \mathbb{R}^{n_s \times n_s}$, $1 \leq s \leq p$, where $n_1 + \cdots + n_p = N$. Let $A \in \mathcal{H}^{N \times N}$ be a block-diagonal matrix constructed by distributing matrices A_1, \dots, A_p along its diagonal.

Let $\mathbf{f}_{s,1}, \dots, \mathbf{f}_{s,m_s} \in \mathbb{R}^N$, $1 \leq s \leq p$ be the m_s well-embedded vectors $\mathbf{v}_{s,1}, \dots, \mathbf{v}_{s,m_s} \in \mathbb{R}^{n_s}$, $1 \leq s \leq p$.

TFAE

- $\{A_s^j \mathbf{v}_{s,k} \mid 1 \leq k \leq m_s\}_{j=0}^{L_{s,k}}$ is a (scalable) frame of \mathbb{R}^{n_s} , $1 \leq s \leq p$.
- $\cup_{s=1}^p \{A_s^j \mathbf{f}_{s,k} \mid 1 \leq k \leq m_s\}_{j=0}^{L_{s,k}}$ is a (scalable) frame of \mathbb{R}^N .



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