

# Frames via Unilateral Iterations of Bounded Operators

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# Collaborators and Contributors to Dynamical Sampling

- Joint work with Carlos Cabrelli (Universidad de Buenos Aires).
- Main contributors to Dynamical Sampling: Aceska, Aldroubi, Bownik, Cabrelli, Çakmak, Christensen, Hasannasab, Huang, Kim, Kornelson, Krishtal, Molter, Paternostro, Petrosyan, Philipp, Stoeva, Tang.

# Background

- $\{f_k\}_{k \in I} \subset H$  is a frame if  $\exists$  fixed constants  $0 < C_1 \leq C_2$  such that for each  $f \in H$ ,

$$C_1 \|f\|^2 \leq \sum_k |\langle f, f_k \rangle|^2 \leq C_2 \|f\|^2$$

- $U : l^2(I) \rightarrow H$  where  $U\{c_k\}_k = \sum_k c_k f_k$  is the synthesis operator.
- $U^* : H \rightarrow l^2(I)$  where  $U^*f = \{\langle f, f_k \rangle\}_k$  is the analysis operator.
- $\Psi = UU^* \in B(H)$  where  $\Psi f = \sum_k \langle f, f_k \rangle f_k$  is the frame operator.
- The canonical dual frame is  $\{\Psi^{-1}f_k\}_{k \in I}$ . For all  $f \in H$ ,  
 $f = \sum_k \langle f, \Psi^{-1}f_k \rangle f_k = \sum_k \langle f, f_k \rangle \Psi^{-1}f_k$
- A Riesz sequence is a system  $\{f_k\}_k$  that forms a bounded unconditional basis for  $\overline{\text{span}}\{f_k\}_k$ .

# Dynamical Sampling in Infinite Dimensional Hilbert Spaces

- The Dynamical Sampling problem was completely solved for finite dimensional Hilbert spaces in the paper “Dynamical Sampling” by Aldroubi, Cabrelli, Molter, Tang.
- Let  $H$  be a separable infinite dimensional Hilbert space.
- In Dynamical Sampling, we seek to recover  $f \in H$  using the samples  $\{\langle A^n f, g \rangle\}_{0 \leq n \leq L(g), g \in \mathcal{A}} = \{\langle f, (A^*)^n g \rangle\}_{0 \leq n \leq L(g), g \in \mathcal{A}}$
- Any  $f \in H$  can be stably reconstructed from these samples if  $\{(A^*)^n g\}_{0 \leq n \leq L(g), g \in \mathcal{A}}$  is a frame for  $H$ .
- Given an operator  $T \in B(H)$  and a vector  $\varphi \in H$ , what are conditions for the system  $\{T^n \varphi\}_{n \geq 0}$  to be a frame, basis, Bessel, complete, minimal etc.?

# Dynamical Sampling in Infinite Dimensional Hilbert Spaces

- If  $T$  is normal, then  $\{T^n\varphi\}_{n\geq 0}$  can never be a basis.  
(Aldroubi, Cabrelli, Çakmak, Molter, Petrosyan)
- If  $T$  is unitary, then  $\{T^n\varphi\}_{n\geq 0}$  can never be a frame.  
(Aldroubi, Petrosyan)
- If  $T$  is compact, then  $\{T^n\varphi\}_{n\geq 0}$  can never be a frame.  
(Christensen, Hasannasab, Rashidi)
- If  $T$  is hypercyclic, then  $\{T^n\varphi\}_{n\geq 0}$  can never be a frame.  
(Christensen, Hasannasab)
- If  $T$  is self-adjoint, then  $\left\{\frac{T^n\varphi}{\|T^n\varphi\|}\right\}_{n\geq 0}$  can never be a frame.  
Conjecture: this holds when  $T$  is normal. (Aldroubi, Cabrelli, Çakmak, Molter, Petrosyan)

# Dynamical Sampling in Infinite Dimensional Hilbert Spaces

## Theorem (Christensen, Hasannasab)

*A frame  $\{f_n\}_{n \in \mathbb{N}_0}$  admits the form  $\{T^n \varphi\}_{n \geq 0}$  with  $T \in B(H)$  iff  $\{f_n\}_{n \in \mathbb{N}_0}$  is linearly independent and  $\text{Ker}(U)$  (the kernel of its synthesis operator) is an invariant subspace of the right shift operator,  $R \in B(\ell^2(\mathbb{N}_0))$ .*

$$R(x_0, x_1, x_2, \dots) = (0, x_0, x_1, x_2, \dots).$$

- This result connects Dynamical Sampling to the theory of Hardy Spaces and helps us find necessary and sufficient conditions for  $\{T^n \varphi\}_{n \geq 0} \subset H$  to be a frame.

# Background

- $H^2(\mathbb{T}) = \{f \in L^2(\mathbb{T}) : \int_{\mathbb{T}} f(z) \bar{z}^n dz = 0 \text{ if } n < 0 \}$ .
- Given  $f \in H^2(\mathbb{T})$ ,  $f(z) = \sum_{n \geq 0} c_n z^n$ .
- An inner function,  $\theta$ , is a function in  $H^2(\mathbb{T})$  such that  $|\theta(z)| = 1$  almost everywhere.

## Theorem (Beurling)

*Every nontrivial invariant subspace of the shift operator  $S \in B(H^2(\mathbb{T}))$ , where  $Sf(z) = zf(z)$ , is of the form  $\theta H^2(\mathbb{T})$  for some inner function  $\theta$ . Conversely, for any inner function  $\theta$ ,  $\theta H^2(\mathbb{T})$  is invariant under  $S$ .*

# Frames via Unilateral Iterations of Bounded Operators

## Theorem (VB)

Let  $\{f_k\}_k$  be a linearly independent and overcomplete frame. Then  $\{f_k\}_k = \{T^n \varphi\}_{n \geq 0}$  with  $T \in B(H)$  iff  $\{R^n c\}_{n \geq 0}$  is a Parseval frame for  $\text{Ker}(U)$  for some  $c \in \ell^2(\mathbb{N}_0)$  whose image under  $A : \ell^2(\mathbb{N}_0) \rightarrow H^2(\mathbb{T})$ , where  $A(c_0, c_1, \dots) = \sum_{n \geq 0} c_n z^n$ , is an inner function.

- Invariant subspaces of  $R$  correspond to invariant subspaces of  $S$  via the unitary map  $A$ .
- Beurling's theorem implies that  $\text{Ker}(U)$  corresponds to an invariant subspace of the form  $\theta H^2(\mathbb{T})$ .  $\theta H^2(\mathbb{T})$  is a cyclic invariant subspace of  $S$  so that  $\text{Ker}(U)$  admits the form above.
- In fact,  $\{R^n c\}_{n \geq 0}$  is an orthonormal basis frame for  $\text{Ker}(U)$ .

# Frames via Unilateral Iterations of Bounded Operators

## Definition

A model space,  $K_\theta$ , is a subspace of  $H^2(\mathbb{T})$  of the form  $K_\theta = H^2(\mathbb{T}) \ominus \theta H^2(\mathbb{T})$  for some shift-invariant subspace  $\theta H^2(\mathbb{T})$ .

## Definition

The map  $S_\theta = P_{K_\theta} S|_{K_\theta}$  is the compression of the shift to  $K_\theta$  where  $P_{K_\theta}$  is the orthogonal projection onto  $K_\theta$ .

## Definition

A finite Blaschke product is an inner function of the form

$$\phi(z) = c \prod_{j=1}^k \frac{\lambda_j - z}{1 - \bar{\lambda}_j z} \text{ where } \{\lambda_1, \dots, \lambda_k\} \subset \mathbb{D} \text{ and } c \in \mathbb{T}.$$

# Frames via Unilateral Iterations of Bounded Operators

## Definition

Let  $H, K$  be complex separable infinite-dimensional Hilbert Spaces. Given  $T \in B(H)$  and  $A \in B(K)$  we say the pairs  $(T, f)$  and  $(A, g)$  are similar and write  $(T, f) \cong (A, g)$  if there exists  $L \in GL(H, K)$  such that  $LTL^{-1} = A$  and  $Lf = g$ .

## Lemma

Assume  $(T, f) \cong (A, g)$ . Then  $\{T^n f\}_{n \geq 0}$  is a frame (overcomplete frame) for  $H$  if and only if  $\{A^n g\}_{n \geq 0}$  is a frame (overcomplete frame) for  $K$ .

## Lemma

A model space  $K_\theta = H^2(\mathbb{T}) \ominus \theta H^2(\mathbb{T})$  is of finite dimension if and only if the inner function  $\theta$  is a finite Blaschke product.

## Lemma

$\{S_\theta^n P_{K_\theta} 1_{\mathbb{T}}\}_{n \geq 0}$  is a overcomplete frame for  $K_\theta$ .

# Frames via Unilateral Iterations of Bounded Operators

## Theorem (Christensen, Hasannasab, Philipp)

A system  $\{T^n\varphi\}_{n\geq 0} \subset H$ , with  $T \in B(H)$  is an overcomplete frame if and only if  $(T, \varphi) \cong (S_\theta, P_{K_\theta}1_{\mathbb{T}})$  for some unique inner function,  $\theta$ , that is not a finite Blaschke product.

- If  $\{T^n\varphi\}_{n\geq 0} \subset H$  is an overcomplete frame and  $T \in B(H)$ , then  $\text{Ker}(U)$  is nontrivial and right shift invariant. The kernel of the map  $V = U\mathcal{F}$ , where  $\mathcal{F}$  is the Fourier transform, is then an invariant subspace of  $S \in B(H^2(\mathbb{T}))$ . Thus  $\text{Ker}(V) = \theta H^2(\mathbb{T})$  for some inner function  $\theta$  by Beurling.
- As  $V$  is surjective,  $\text{Ker}(V)$  has infinite codimension. Setting  $K_\theta = H^2(\mathbb{T}) \ominus \theta H^2(\mathbb{T})$  and  $W = V|_{K_\theta}$  we have  $W \in GL(K_\theta, H)$ ,  $WP_{K_\theta}1_{\mathbb{T}} = \varphi$ , and  $WS_\theta W^{-1} = T$

# Frames via Unilateral Iterations of Bounded Operators

- Let  $T_1, T_2 \in B(H)$  commute. We seek necessary and sufficient conditions for a system  $\{T_1^i T_2^j f_0\}_{i,j \geq 0} \subset H$  to be a frame.
- $H^2(\mathbb{T}^2) = \{f(z, w) \in L^2(\mathbb{T}^2) : \int_{\mathbb{T}^2} f(z, w) \bar{z}^m \bar{w}^n d\mu = 0 \text{ if } m < 0 \text{ or } n < 0\}$ .
- Given  $f \in H^2(\mathbb{T}^2)$ ,  $f(z, w) = \sum_{i,j \geq 0} c_{ij} z^i w^j$ .
- A subspace  $M \subseteq H^2(\mathbb{T}^2)$  is shift-invariant if it is invariant under both shift operators  $S_1$  and  $S_2$ . That is  $S_1 M = zM \subset M$  and  $S_2 M = wM \subset M$ .
- An inner function,  $\theta$ , is a function in  $H^2(\mathbb{T}^2)$  such that  $|\theta(z, w)| = 1$  almost everywhere.
- Beurling's characterization of invariant subspaces does not translate fully to  $H^2(\mathbb{T}^2)$ .

# Frames via Unilateral Iterations of Bounded Operators

## Theorem (Mandrekar)

*A non-trivial shift-invariant subspace  $M \subset H^2(\mathbb{T}^2)$  is of the form  $\phi H^2(\mathbb{T}^2)$  with  $\phi(z, w)$  inner if and only if  $S_1$  and  $S_2$  doubly commute on  $M$ .*

## Lemma

*Let  $\{T_1^i T_2^j f_0\}_{i,j \geq 0}$  be an overcomplete frame for  $H$  where  $T_1, T_2 \in B(H)$  commute. Let  $U : \ell^2(\mathbb{N}_0 \times \mathbb{N}_0) \rightarrow H$  be the synthesis operator. Let  $R_1, R_2 \in B(\ell^2(\mathbb{N}_0 \times \mathbb{N}_0))$  be the right shift in the first and second components respectively. If  $R_1, R_2$  doubly commute on  $\text{Ker}(U)$ , then the invariant subspace  $\text{Ker}(V) = \text{Ker}(U\mathcal{F}) \subset H^2(\mathbb{T}^2)$  is of the form  $\phi H^2(\mathbb{T}^2)$ , where  $\phi(z, w)$  is an inner function.*

# Frames via Unilateral Iterations of Bounded Operators

- By Argawal, Clark, and Douglas when  $\phi(z, w)$  is an inner function, any invariant subspace  $M \subseteq H^2(\mathbb{T}^2)$  satisfies  $\phi M \subseteq M$  with equality if and only if  $\phi$  is constant. Also, they show that every invariant subspace  $M \subseteq H^2(\mathbb{T}^2)$  with finite codimension has full range.
- By Mandrekar, invariant subspaces of the form  $\phi H^2(\mathbb{T}^2)$  do not have full range unless  $\phi$  is constant. Thus, a Beurling type invariant subspace,  $\phi H^2(\mathbb{T}^2)$ , cannot have finite codimension unless  $\phi$  constant. That is, invariant subspaces of the form  $\phi H^2(\mathbb{T}^2)$  with  $\phi(z, w)$  inner, satisfy  $\dim(H^2(\mathbb{T}^2) \ominus \phi H^2(\mathbb{T}^2)) = \infty$  unless  $\phi H^2(\mathbb{T}^2) = H^2(\mathbb{T}^2)$ .

# Frames via Unilateral Iterations of Bounded Operators

## Theorem (Cabrelli, VB)

Let  $\{T_1^i T_2^j f_0\}_{i,j \geq 0} \subset H$ , where  $T_1, T_2 \in B(H)$  commute, satisfy the property that the operators  $R_1, R_2$  doubly commute on  $\text{Ker}(U)$ , where  $U$  is the synthesis operator for the sequence  $\{T_1^i T_2^j f_0\}_{i,j \geq 0}$ . Then  $\{T_1^i T_2^j f_0\}_{i,j \geq 0}$  is an overcomplete frame iff there exists a nonconstant inner function,  $\theta(z, w)$ , such that  $(T_1 T_2, f_0) \cong (S_{\theta_1} S_{\theta_2}, P_{K_\theta} 1_{\mathbb{T}^2})$  where  $P_{K_\theta}$  is the orthogonal projection onto  $K_\theta = H^2(\mathbb{T}^2) \ominus \theta H^2(\mathbb{T}^2)$  and  $S_{\theta_1} = P_{K_\theta} S_1|_{K_\theta}$  and  $S_{\theta_2} = P_{K_\theta} S_2|_{K_\theta}$ .

- A more general version of this theorem holds without assuming double commuting property.
- Theorem provides characterization of frames obtained by iterations of pairs of commuting bounded operators.

## Future Work on Frames via Operator Orbits

- Characterize bounded, commuting operators  $T_1, T_2$  that for some  $\varphi \in H$ , admit double commuting property on  $\text{Ker}(U)$ .
- Find necessary and sufficient conditions for systems given by iterations of bounded operators that do not necessarily commute to be a frame.
- Determine whether all shift-invariant subspaces of  $H^2(\mathbb{T}^2)$  that are not full range admit the form  $\phi N$ , for some  $N \subseteq H^2(\mathbb{T}^2)$ .

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