Rational approximation to analytic functions with polar singular set and finitely many branchpoints

Laurent Baratchart

INRIA Sophia-Antipolis-Méditerrannée France

based on joint work with

Maxim Yattselev (IUPUI, Indianapolis) and Herbert Stahl

MWAA-IUPUI, October 6-8, 2017.

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- Runge's proof rests on his "pole shifting technique".
- Today, it is a consequence of the duality between complex measures and continuous functions with compact support.

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 - in electrical engineering, to check stability of microwave circuits.

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• A rational function is the gradient of a discrete potential:

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 Hence, rational approximation may be viewed as optimal discretization of a logarithmic potential with respect to a Sobolev norm.

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will converge weak-* to some probability measure as the degree of the approximant goes large (dominancy of branchpoints).

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We set

$$e_n = e_n(f,K) := \inf_{r_n \in \mathcal{R}_n} \|f - r_n\|_{L^{\infty}(K)}.$$

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- *n*-th root rates only estimate the geometric decay of the error.
- They make contact with logarithmic potential theory.

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• The energy lies in $\mathbb{R} \cup \{+\infty\}$.

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and \mathcal{P}_{K} is the set of probability measures on K.

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- A property valid outside a polar set is said to hold quasi-everywhere.
- ω_K is characterized by V^{ω_K} being constant q.e. on K (Frostman theorem).

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- The capacity of a set E is the supremum of C_K over all compact $K \subset E$.

• The weighted capacity of a non polar compact set K in the field ψ , assumed to be lower semi-continuous and finite q.e. on K, is $C_{\psi}(K) = e^{-l_{\psi}}$ where

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- When $\psi \equiv 0$ one recovers the usual capacity.

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Example: if D is the unit disk, then

$$G_{\mathbb{D}}(z,t) = \log \left| \frac{1-z\overline{t}}{z-t} \right|.$$

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$$\left(= \|\nabla V_{\Omega}^{\mu}\|_{L^{2}(\Omega)}^{2} \text{ in smooth cases } \right)$$

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• The Green capacity of K is $C(K,\Omega) = 1/\mathcal{I}_K$ where

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- Green capacities and Green equilibrium distributions are conformally invariant. This allows to speak of the Green capacity of a closed set, possibly containing ∞, in an open set of the Riemann sphere.

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• It is obtained by interpolating the function. There are functions for which this bound is sharp (Tikhomirov).

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- For B_n a Blaschke product with zeros at $z_1, \dots, z_n \in K$, projection of f onto $H^2 \ominus BH^2$ yields $r_n \in \mathcal{R}_n$ interpolating f at those points, $\|r_n\|_{H^2} \le 1$. By a Bernstein-type estimate $\|r'_n\|_{H^\infty} \le cn$ [Baranov-Zarouf, 2014] so that $\|r_n\|_{H^\infty} \le Cn$.

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- For this they used interpolation again.

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- Note that orthogonality is non Hermitian.
- To assess the asymptotic behavior of q_n , it was realized that E should have special properties. of the normalized counting measures of the $\xi_i^{(n)}$:

$$\frac{1}{2n}\sum_{\ell=1}^{2n}\delta_{\xi_{\ell}^{(n)}}\stackrel{w*}{\longrightarrow} \nu.$$

• A weighted S-contour in the field ψ is a compact set E which is an analytic arc in the neighborhood of q.e. point, and such that at every such point

$$\partial \left(V^{\omega_{E,\psi}} + \psi\right) / \partial n^+ = \partial \left(V^{\omega_{E,\psi}} + \psi\right) / \partial n^-$$

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• Dwelling on his work, Gonchar and Rakhmanov generalized the result to the multipoint case as follows.



Theorem [Gonchar-Rachmanov,87] If f is (essentially) a Cauchy integral on a weighted S-contour E in the field $-V^{\nu}$, with q.e. continuous nonzero density on E, and if the interpolation points $\xi_1^{(n)}, \dots, \xi_{2n}^{(n)}$ are picked with asymptotic density ν on K:

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and the normalized counting measure of their poles converges towards $\omega_{E,-U^{\nu}}$.



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- Pick $\nu = \omega_{K,\Omega}^{\mathcal{G}}$; a computation shows that

$$(f - \frac{p_{n-1}}{q_n})(z) = \frac{w_{2n}}{q_n^2}(z)\frac{1}{2i\pi}\int_E \frac{fq_n}{w_{2n}}(\xi)\frac{d\xi}{z-\xi}.$$

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• Taking moduli and 2*n*-th root, the surviving term is

$$\left| \frac{w_{2n}}{q_n^2} (z) \right|^{1/2n} = \exp \left\{ \frac{\log |w_{2n}(z)|}{2n} - \frac{\log |q_n(z)|}{n} \right\}$$

$$= \exp\left\{-V^{\nu_n} + V^{\mu_n}\right\} \to \exp\left\{V_G^{\omega_{K,E^c}^G}(z)\right\}. = \frac{1}{C(K,E)} \text{ on } K.$$



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- Moreover, when the degree goes large, the normalized counting measure of the poles of these approximants converges to the Green equilibrium distribution on the cut of minimal Green capacity in K^c outside f which f is single-valued.
- Is this also the behaviour of best approximants?

Let H^2 be the familiar Hardy space of the disk.

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with T the unit circle, then

 p_n/q_n interpolates f at $1/\overline{\xi}_j$ with order 2 for each zero ξ_j of q_n , and also at 0 [Levin, 76], [Della-Dora, 72].

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 p_n/q_n interpolates f at $1/\overline{\xi}_j$ with order 2 for each zero ξ_j of q_n , and also at 0 [Levin, 76], [Della-Dora, 72]. So, it is a particular case of multipoint Padé interpolant (with unknown interpolation points).

Asymptotics of H^2 approximants

Theorem (H. Stahl, M. Yattselev, L.B., 2013)

Let f be analytic except for finitely many branchpoint off the closed unit disk, and p_n/q_n a best rational approximant of degree n in H^2 . The counting measure of the poles of p_n/q_n converges weak-* when $n \to \infty$ to the equilibrium measure of the set K_G of minimal Green capacity in $\overline{\mathbb{C}} \setminus \mathbb{D}$ outside of which f is single-valued.

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$$\lim_{n\to\infty} \left\| f - p_{n-1}/q_n \right\|_{L^2(\mathbb{T})}^{1/2n} = e^{-1/\mathsf{Cap}(\mathbb{T}, \mathsf{K}_G)},$$

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The result is not a direct consequence of the Gonchar Rakhmanov theorem, for one needs to establish that there exists a S-contour in the field $-V^{\nu}$, where ν is the asymptotic distribution of the reciprocal of the poles of p_{n-1}/q_n (the interpolation points).

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• We approximate f on ∂K by the sum of a rational function and (the trace of) a function in $H^{\infty}(K^c)$:

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- By the Cauchy formula

$$f(z)-r_n(z)=rac{1}{2i\pi}\int_{\partial K}rac{(f-r_n-g)(t)}{t-z}\,dt\quad ext{for }z\in \overset{\circ}{K},$$

which implies easily that

 $\limsup_{n_k} e_{n_k}^{1/n_k} = \limsup_{n_k} e_{n_k}^{1/n_k}, \quad \liminf_{n_k} e_{n_k}^{1/n_k} = \liminf_{n_k} e_{n_k}^{1/n_k}$ along any subsequence n_k .



• By conformal mapping assume $K = \overline{\mathbb{C}} \setminus \mathbb{D}$ with \mathbb{D} the unit disk, and $\Omega = \overline{\mathbb{C}} \setminus E$, with E compact lying interior to the unit circle \mathbb{T} .

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- AAK theory tells that the best error in uniform approximation to f on T by meromorphic functions with n poles is the n + 1 singular value of the Hankel operator:

$$A_f: H^2(\mathbb{D}) \to H_0^2(\overline{\mathbb{C}} \setminus \overline{\mathbb{D}})$$

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where \mathbf{P}_{-} is the projection $L^{2}(\mathbb{T}) \to H^{2}_{0}(\overline{\mathbb{C}} \setminus \overline{\mathbb{D}})$ in the orthogonal decomposition:

$$L^2(\mathbb{T}) = H^2(\mathbb{D}) \oplus H^2_0(\overline{\mathbb{C}} \setminus \overline{\mathbb{D}}).$$



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• Moreover by the residue theorem

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• By the above, Fubini's theorem, and the residue formula, we get for $v \in H^2(\mathbb{D})$:

$$A_f(v)(z) = \frac{1}{2i\pi} \int_{\Gamma} \frac{v(\xi)f(\xi)}{(z-\xi)} d\zeta, \qquad z \in \overline{\mathbb{C}} \setminus \overline{\mathbb{D}}.$$

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Therefore A_f is the composition of four elementary operators:

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Therefore A_f is the composition of four elementary operators:

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• Spectral theoretic interpretation of "2".

Applying now the Horn-Weyl inequalities:

$$\Pi_{k=0}^{n} s_{k}(AB) \leq \Pi_{k=0}^{n} s_{k}(A) \Pi_{k=0}^{n} s_{k}(B), \quad n \in \mathbb{N}$$

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$$\prod_{k=0}^{n} s_k(A_f) \le |||B_2|||^{n+1} |||B_3|||^{n+1} \prod_{k=0}^{n} s_k(B_1) \prod_{k=0}^{n} s_k(B_4),$$

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• from which Parfenov's theorem follows easily upon taking $1/n^2$ -roots.

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- $\lim_{n\to\infty} e_n^{1/n} = \exp\left\{\frac{-2}{C(K,\Omega^*)}\right\}$
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 Dwelling on Horn-Weyl inequalities for singular values of the Hankel operator with symol f, we prove:

$$\limsup_{n\to\infty} e_n^{1/n} > \exp\left\{\frac{-2}{C(K,\Omega)}\right\} \Longrightarrow \liminf_{n\to\infty} e_n^{1/n} < \exp\left\{\frac{-2}{C(K,\Omega)}\right\}.$$

• In a second step, one shows that along any subsequence $\liminf e_n^{1/n} \geq \exp\left\{\frac{-2}{C(K,\Omega^*)}\right\} \text{ and that this speed of convergence is attained only if the asymptotic density of the poles is }\omega_{(K,\Omega^*)}^G$

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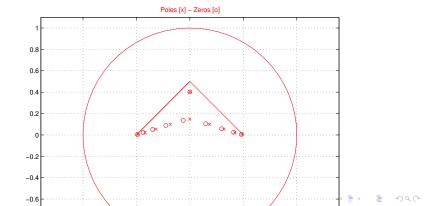
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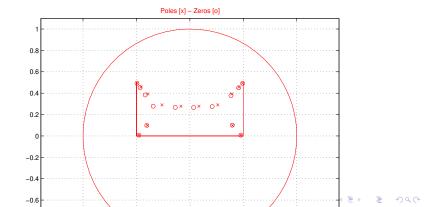
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- One dificulty is that L is only finely continuous, but neither subharmonic nor superharmonic.
- In a final step we connect poles in rational approximation with poles in meromorphic approximation. The result on the poles holds in fact for any sequence of approximant with optimal n-th root rate.

Some experiments



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A sad note

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In memoriam Herbert Stahl, August 3, 1942-April 22, 2013.

And most importantly

Thank you!