

# Riesz Energy with an External Field: Dimensionality of Minimizers

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# Energy

Given lower semi-continuous functions  $W : \mathbb{R}^d \rightarrow (-\infty, \infty]$  and  $V : \mathbb{R}^d \rightarrow (-\infty, \infty]$ , the **(continuous) energy** of a Borel probability measure  $\mu \in \mathcal{P}(\mathbb{R}^d)$  is

$$I_{W,V}(\mu) = \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \left( W(x-y) + V(x) + V(y) \right) d\mu(x) d\mu(y).$$

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- Sufficient growth (attraction) conditions on  $W$  or  $V$  as  $\|x\| \rightarrow \infty$ , or restriction of the problem to a compact space  $A$  (i.e.  $V(x) = \infty$  for  $x \notin A$ ), usually guarantees the existence of (compactly supported) minimizers.

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- What can we say about the dimension of the support of a minimizer?  
General trend: Stronger (local) repulsion  $\Rightarrow$  higher dimension, stronger attraction  $\Rightarrow$  lower dimension

# Modeling Natural Systems

The evolution of a system can be described by the aggregation equation

$$\frac{\partial \mu_t(x)}{\partial t} = \nabla \cdot \mu_t(x) \nabla \left( \left( \int_{\mathbb{R}^d} W(x-y) d\mu_t(y) \right) + V(x) \right)$$

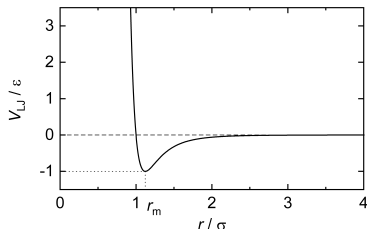
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For swarm behavior, neutral particles, or cell interactions, one may use repulsive-attractive kernels, like **Lennard-Jones/power law potentials**

$$W_{s,\alpha}(x-y) = \frac{\|x-y\|^{-s}}{s} + \frac{\|x-y\|^\alpha}{\alpha}.$$

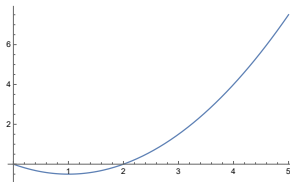
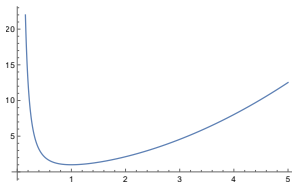
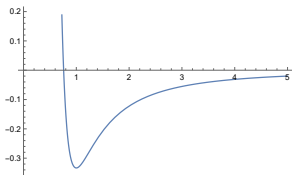


# Existence and Compactness of Minimizers

## Lennard-Jones/power law potentials

$$W_{s,\alpha}(x-y) = \frac{\|x-y\|^{-s}}{s} + \frac{\|x-y\|^\alpha}{\alpha}, \quad -\infty < s < d, \quad -s < \alpha < \infty,$$

with conventions  $\frac{\|x-y\|^{-0}}{0} = -\log(\|x-y\|)$ .



### Theorem (Cañizo, Carrillo, Patacchini '15)

*If  $s < d$  and  $\alpha > -s$ , then there exists a minimizer of  $I_{W_{s,\alpha}}$ . Moreover, there exists some  $K \in (0, \infty)$ , such that if  $\mu_{eq}$  is a minimizer, then  $\text{diam}(\text{supp}(\mu_{eq})) \leq K$ .*

# Repulsion Affecting Dimension

Lennard-Jones/power law potentials

$$W_{s,\alpha}(x-y) = \frac{\|x-y\|^{-s}}{s} + \frac{\|x-y\|^\alpha}{\alpha}, \quad s \in (-\infty, d), \alpha \in (-s, \infty].$$

Theorem (Carrillo, Figalli, Patachini '17)

If  $s < -2$ ,  $-s < \alpha < \infty$ , and  $\mu_{eq}$  is a minimizer of  $I_{W_{s,\alpha},0}$ , then  $\text{supp}(\mu_{eq})$  is finite.

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Theorem (Balague, Carrillo, Laurent, Raoul '13)

If  $-2 < s < d-2$ ,  $-s < \alpha < \infty$ , and  $\mu_{eq}$  is a minimizer of  $I_{W_{s,\alpha},0}$ , then  $\dim(\text{supp}(\mu_{eq})) \geq s+2$ .

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Theorem (Carrillo, Delgadino, Mellet '16)

If  $d-2 \leq s < d$ ,  $0 < \alpha < \infty$ , and  $\mu_{eq}$  is a minimizer of  $I_{W_{s,\alpha},0}$ , then  $\mu$  is absolutely continuous with respect to the Lebesgue measure, i.e.  $\dim(\text{supp}(\mu_{eq})) = d$ .

# Attraction Affecting Dimension

Theorem (Davies, Lim, McCann, '22, '23)

For  $d \geq 2$  and  $s = -2$ ,

- if  $2 < \alpha < 4$ , then  $\mu_{eq}$  is the uniform measure on some sphere, i.e.  $\dim(\text{supp}(\mu_{eq})) = d - 1$ .
- If  $4 < \alpha$ ,  $\mu_{eq}$  is the uniform measure on the vertices of a regular simplex, i.e.  $\dim(\text{supp}(\mu_{eq})) = 0$ .
- if  $\alpha = 4$ ,  $\dim(\text{supp}(\mu_{eq})) \in [0, d - 1]$ .

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## Theorem (Carrillo, Shu, '23; Frank, M. '24)

For  $d \geq 2$  and  $d - 4 < s < \frac{d^2 - 3d - 2}{d + 1} < d - 3$

- if  $\alpha = 2$ , then  $\mu_{eq}$  is absolutely continuous and supported on a ball, i.e.  $\dim(\text{supp}(\mu_{eq})) = d$ .
- if  $\alpha = 4$ , then  $\mu_{eq}$  is the uniform measure on some sphere, i.e.  $\dim(\text{supp}(\mu_{eq})) = d - 1$

## Riesz $s$ -kernels

For  $s \in \mathbb{R}$ , we define the **Riesz  $s$ -kernel** as

$$W_s(x - y) = \begin{cases} \frac{1}{s} \|x - y\|^{-s} & s \neq 0 \\ -\log(\|x - y\|) & s = 0 \end{cases}.$$

Generally interested in minimizing

$$I_{W_s, V}(\mu) = \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \left( \frac{1}{s} \|x - y\|^{-s} + V(x) + V(y) \right) d\mu(x) d\mu(y).$$

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Particularly interested in minimizing, for  $-2 < s < d$ ,  
 $\max\{0, -s\} \leq \alpha < \infty$ , and  $\gamma > 0$

$$I_{W_s, V_{\alpha, \gamma}}(\mu) = \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \left( \frac{1}{s} \|x - y\|^{-s} + \gamma \frac{\|x\|^\alpha}{\alpha} + \gamma \frac{\|y\|^\alpha}{\alpha} \right) d\mu(x) d\mu(y).$$



# Some Connections

## Theorem (McCarthy '23)

*Let  $X_n = (X^{(1,n)}, X^{(2,n)}, \dots, X^{(d,n)})$  be a  $d$ -tuple of  $n \times n$  commuting, Hermitian complex random matrices. Then, as  $n \rightarrow \infty$ , the distribution of eigenvalues of  $\frac{1}{\sqrt{n}}X_n$  will tend to the minimizing measure of  $I_{W_0, V_{2,2}}$ .*

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## Theorem (Hertrich, Gräf, Beinert, Steidl '24; CMSVW)

The minimizer of  $I_{W_s, V_{\alpha, \gamma}}$  is also the minimizer of

$$\int_{\mathbb{R}^d} \int_{\mathbb{R}^d} W_s(x - y) d\mu(x) d\mu(y) + \frac{\gamma}{\alpha} D_\alpha^\alpha(\delta_0, \mu)$$

where  $D_\alpha$  is the Wasserstein  $\alpha$ -metric.

The minimizer is how charge distributes from being concentrated at the origin under a Wasserstein steepest descent flow after a certain amount of time.

# Our Main Potential Theoretic Tools

## Conditional Strict Positive Definiteness

For compact  $A \subset \mathbb{R}^d$ , we call a symmetric, lower semi-continuous kernel  $K : A \times A \rightarrow (-\infty, \infty]$  **conditionally strictly positive definite** if for all finite signed Borel measures  $\mu$  such that  $\mu(A) = 0$  and  $\mu \neq 0$ ,

$$I_K(\mu) := \int_A \int_A K(x, y) d\mu(x) d\mu(y) > 0.$$

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## Lemma

For  $-2 < s < d$ , the kernel

$$K_{s,V}(x, y) = W_s(x - y) + V(x) + V(y)$$

is conditionally strictly positive definite on every compact  $A \subset \mathbb{R}^d$  (we call this being conditionally strictly positive definite on  $\mathbb{R}^d$ ).

# Our Main Potential Theoretic Tools

## Theorem

Suppose  $K$  is conditionally strictly positive definite on  $\mathbb{R}^d$  and a minimizer of  $I_K$  exists. Then  $\mu_{eq}$  minimizes  $I_K$  if and only if there is some constant  $C$ , such that

$$U_K^{\mu_{eq}}(x) := \int_{\mathbb{R}^d} K(x, y) d\mu_{eq}(y) \begin{cases} = C & x \in \text{supp}(\mu) \\ \geq C & x \in \mathbb{R}^d \end{cases}. \quad (1)$$

Moreover, the  $\mu_{eq}$  uniquely minimizes  $I_K$ .

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## Corollary

If  $-2 < s < d$ , and a minimizer  $\mu_{eq}$  of  $I_{W_s, V}$  exists, then it is unique and the only measure in  $\mathcal{P}(\mathbb{R}^d)$  to satisfy (1).

# Simplifying with Radial Symmetry

If  $-2 < s < d$ ,  $V$  is radially symmetric, i.e.  $V(x) = f(\|x\|^2)$ , then  $\mu_{eq}$  is radially symmetric, as for any  $g \in O(d)$ ,

$$\begin{aligned} I_{W_s, V}(g \# \mu_{eq}) &= \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \left( \frac{1}{s} \|gx - gy\|^{-s} + f(\|gx\|^2) + f(\|gy\|^2) \right) d\mu_{eq}(x) d\mu_{eq}(y) \\ &= \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \left( \frac{1}{s} \|x - y\|^{-s} + f(\|x\|^2) + f(\|y\|^2) \right) d\mu_{eq}(x) d\mu_{eq}(y) \end{aligned}$$

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Theorem (Saff, Totik '97; Boradochov, Hardin, Saff '19; Dragnev, Orive, Saff, Wielonsky '23)

Let  $f(\infty) := \lim_{r \rightarrow \infty} f(r^2)$ . There exists a unique compactly supported minimizer of  $I_{W_s, V}$  if

- $0 < s < d$  and  $\lim_{r \rightarrow \infty} sr^s (f(r^2) - f(\infty)) < -1$ ,
- $s = 0$  and  $\lim_{r \rightarrow \infty} (f(r^2) - \log(r)) = \infty$ ,
- $-2 < s < 0$  and  $\limsup_{r \rightarrow \infty} sr^s f(r^2) < -2^{-s}$ .



# A One-dimensional Problem

When  $V$  and  $\mu_{eq}$  are radial, we can separate the radial and angular parts of the measure, so

$$g_{s,V}(\|x\|) := U_{W_s,V}^{\mu_{eq}}(x) = f(\|x\|^2) + \int_0^\infty \int_{\mathbb{S}^{d-1}} \frac{1}{s} \|x - Ry\|^{-s} d\sigma(y) d\nu(R).$$

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For  $R > 0$ ,

$$\int_{\mathbb{S}^{d-1}} \frac{1}{s} \|x - Ry\|^{-s} d\sigma(y) = \begin{cases} \frac{1}{s} R^{-s} {}_2F_1\left(\frac{s}{2}, \frac{2+s-d}{2} \middle| \frac{\|x\|^2}{R^2}\right), & \|x\| \leq R \\ \frac{1}{s} \|x\|^{-s} {}_2F_1\left(\frac{s}{2}, \frac{2+s-d}{2} \middle| \frac{R^2}{\|x\|^2}\right) & \|x\| \geq R \end{cases}.$$

(Get a slightly different function for the log case)

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Frostman conditions:

$$g_{s,V}(\tau) + \int_{\mathbb{R}^d} V(y) d\mu_{eq}(y) \begin{cases} = I_{s,V}(\mu_{eq}) & \tau \in \text{supp}(\nu) \\ \geq I_{s,V}(\mu_{eq}) & \tau \in [0, \infty) \end{cases}.$$

# Sphere as Minimizer

For  $-2 < s < d - 1$  and some  $R = R_{s,V} > 0$ , and setting  $t = \frac{\|x\|^2}{R^2}$ , we find that  $\sigma_R$  satisfying the Frostman conditions is equivalent to

$$h_{s,V}(t) := U_{W_{s,V}}^{\sigma_R}(x) = \begin{cases} \frac{R^{-s}}{s} {}_2F_1\left(\frac{s}{2}, \frac{2+s-d}{2} \middle| t\right) + f(R^2t) & t \in [0, 1] \\ \frac{R^{-s}}{s} t^{-s/2} {}_2F_1\left(\frac{s}{2}, \frac{2+s-d}{2} \middle| \frac{1}{t}\right) + f(R^2t) & t \in [1, \infty) \end{cases}$$

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The radius  $R_{s,V}$  is a solution to

$$R^{s+2}f'(R^2) = {}_2F_1\left(\frac{s}{2}, \frac{2+s-d}{2} \middle| 1\right).$$

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**Theorem (Chafaï, M., Saff, Vu, Womersley)**

If  $-2 < s \leq d - 4$  and  $f''(t) \geq 0$  for all  $t \in [0, \infty)$ ,  $\mu_{eq} = \sigma_{R_{s,V}}$ .

# Sphere as a Minimizer

Theorem (Chafaï, M., Saff, Vu, Womersley)

Let  $-2 < s < d - 3$ ,  $\gamma > 0$  and

$$\alpha \geq \alpha_{s,d} := \max \left\{ \frac{s {}_2F_1 \left( \frac{s}{2}, \frac{2+s-d}{2} \middle| 1 \right)}{2 - 2 {}_2F_1 \left( \frac{s}{2}, \frac{2+s-d}{2} \middle| 1 \right)}, 2 - \frac{(s+2)(d-s-4)}{2(d-s-3)} \right\}.$$

Then, with  $R = \left( \frac{\Gamma(\frac{d}{2})\Gamma(d-s-1)}{2\gamma\Gamma(\frac{d-s}{2})\Gamma(d-1-\frac{s}{2})} \right)^{\frac{1}{\alpha+s}}$ ,  $\sigma_R$  uniquely minimizes

$$I_{W_s, V_{\alpha, \gamma}}(\mu) = \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \left( \frac{1}{s} \|x - y\|^{-s} + \gamma \frac{\|x\|^\alpha}{\alpha} + \gamma \frac{\|y\|^\alpha}{\alpha} \right) d\mu_{eq}(x) d\mu_{eq}(y).$$

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Theorem (Chafaï, M., Saff, Vu, Womersley)

Let  $-2 < s < d - 3$ ,  $\gamma > 0$  and

$$\alpha \geq \alpha_{s,d} := \max \left\{ \frac{s {}_2F_1 \left( \frac{s}{2}, \frac{2+s-d}{2} \middle| 1 \right)}{2 - 2 {}_2F_1 \left( \frac{s}{2}, \frac{2+s-d}{2} \middle| 1 \right)}, 2 - \frac{(s+2)(d-s-4)}{2(d-s-3)} \right\}.$$

Then, with  $R = \left( \frac{\Gamma(\frac{d}{2})\Gamma(d-s-1)}{2\gamma\Gamma(\frac{d-s}{2})\Gamma(d-1-\frac{s}{2})} \right)^{\frac{1}{\alpha+s}}$ ,  $\sigma_R$  uniquely minimizes

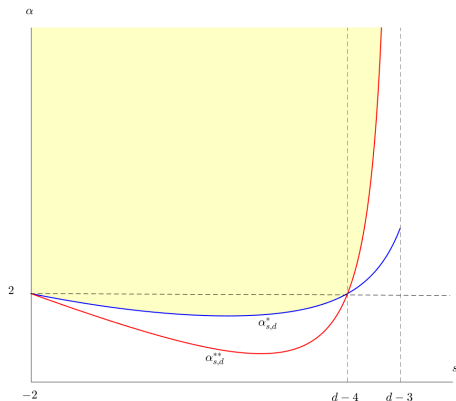
$$I_{W_s, V_{\alpha, \gamma}}(\mu) = \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \left( \frac{1}{s} \|x - y\|^{-s} + \gamma \frac{\|x\|^\alpha}{\alpha} + \gamma \frac{\|y\|^\alpha}{\alpha} \right) d\mu_{eq}(x) d\mu_{eq}(y).$$

The bound on  $\alpha$  is sharp. We expect for  $-s < \alpha < \alpha_{s,d}$ ,

$$\dim(\text{supp}(\mu_{eq})) = d.$$



# Sphere as a Minimizer



$$\alpha_{s,d} = \max\{\alpha_{s,d}^*, \alpha_{s,d}^{**}\}$$

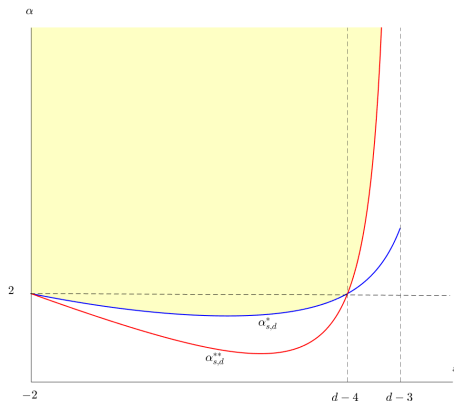
with

$$\alpha_{s,d}^* := \frac{s \cdot {}_2F_1\left(\frac{s}{2}, \frac{2+s-d}{2}; \frac{d}{2}; 1\right)}{2 - 2 \cdot {}_2F_1\left(\frac{s}{2}, \frac{2+s-d}{2}; \frac{d}{2}; 1\right)}$$

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$$\alpha_{s,d}^{**} := 2 - \frac{(s+2)(d-s-4)}{2(d-s-3)},$$

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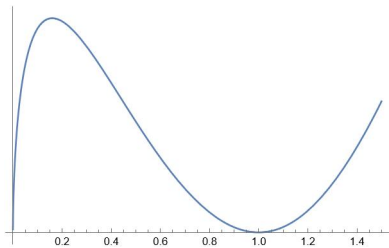
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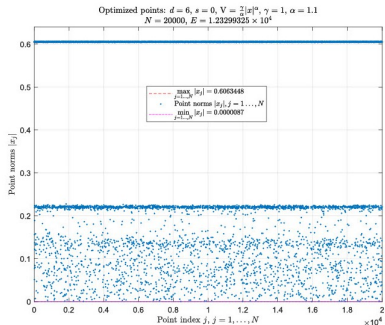
$\sigma_R$  is never a minimizer for  $s \geq d-3$ . If  $d-4 < s < d-3$  and  $\alpha < \alpha_{s,d}$ ,  $h_{s,V_{\alpha,\gamma}}(t)$  is not convex at 1, so  $\sigma_R$  is not a minimizer, and we expect a minimizer supported on an annulus.

# Mixed Support

For  $-2 < s \leq d - 4$  and  $\alpha < \alpha_{s,d}$ ,  $U_{W_{s,V}}^{\sigma_R}$  achieves its minimum at 0, so  $\sigma_R$  is not  $\mu_{eq}$ . The minimizer seems more likely to be a combination of an absolutely continuous measure on a ball and singular measures on spheres on or outside the boundary.



$h_{s,V}(t)$  for  $\alpha = \alpha_{s,d}^*$

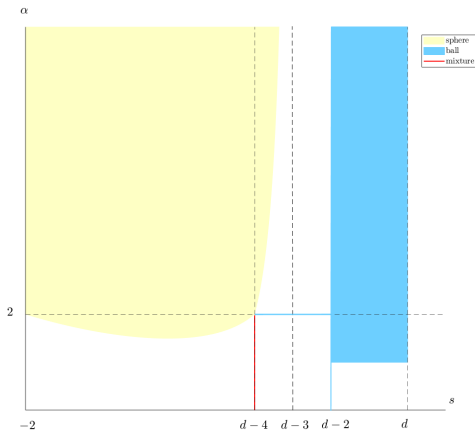


Numerics for  $\alpha = 1.1, d = 6,$   
 $s = d - 6 = 0.$

# Known Minimizers

Theorem (Chafaï, M., Saff, Vu, Womersley)

If  $d - 3 \leq s < d$ , and  $f$  is  $C^2$  (in the extended sense) on  $[0, \infty)$ , with  $f''$  finite on  $(0, \infty)$ , and such that  $\mu_{eq}$  exists. Then  $\text{supp}(\mu_{eq})$  is the union of uncountably many spheres.



Mhaskar, Saff '92; Caffarelli, Vázquez '01; López-García '10; Bilogliadov '18; Chafaï, Saff, Womersley '22,'23; Carrillo, Shu '23; Hertrich, Gräf, Beinert, Steidl '24; Chafaï, M., Saff, Vu, Womersley.

# Density of Measure from External Field

For  $0 < s < d$ , the Riesz Potential operator

$$(-\Delta)^{-\frac{d-s}{2}} V(x) := C_{d,s} \int_{\mathbb{R}^d} \frac{V(y)}{\|x-y\|^s} dy$$

acts as the inverse of the fractional Laplacian  $(-\Delta)^{\frac{d-s}{2}}$ .

$$\Delta V(x) = \sum_{k=1}^d \frac{\partial^2}{\partial x_k^2} V(x), \quad (-\Delta)^{\frac{d-s}{2}} V(x) = \mathcal{F}^{-1} \left( \|\xi\|^{d-s} \mathcal{F}(V)(\xi) \right) (x).$$

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**Proposition (Kwaśnicki '15; Chafaï, Saff, Womersley '22)**

*For  $0 < s < d$ , suppose that  $\mu_{eq}$  minimizes  $I_{W_s, V}$ . Then on  $\text{supp}(\mu_{eq})^\circ$ ,  $d\mu_{eq}(x) = -(-\Delta)^{\frac{d-s}{2}} V(x) dx$ .*

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**Corollary**

If  $0 < s < d$  and  $(-\Delta)^{\frac{d-s}{2}} V(x) > 0$ , then  $x \notin \text{supp}(\mu_{eq})^\circ$ . If  
 $(-\Delta)^{\frac{d-s}{2}} V(x) > 0$  everywhere, then  $\text{supp}(\mu_{eq})^\circ = \emptyset$ .

# Harmonic Case: $s = d - 2$

## Corollary

*For  $0 < s = d - 2$ , on  $\text{supp}(\mu)^\circ$ ,  $d\mu_{eq}(x) = \Delta V(x)dx$ .*



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For  $0 < s = d - 2$ , on  $\text{supp}(\mu)^\circ$ ,  $d\mu_{eq}(x) = \Delta V(x)dx$ .

## Theorem (Mhaskar, Saff '92; López-García '10)

Suppose  $0 \leq s = d - 2$ ,  $V(x) = g(\|x\|)$ ,  $g'$  is absolutely continuous and nonnegative on  $(0, \infty)$ , and  $r^{d-1}g'(r)$  is increasing with  $\lim_{r \rightarrow \infty} r^{d-1}g'(r) > 1$ .

If  $R$  is the solution of  $R^{d-1}g'(R) = 1$ , then  $I_{W_{d-2}, V}$  is minimized by

$$d\mu_{eq}(x) = (r^{d-1}g'(r))' \mathbb{1}_{[0, R]}(r) dr d\sigma(\theta).$$

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## Corollary

If  $0 \leq s = d - 2$ ,  $\alpha > 0$ ,  $\gamma > 0$ , and  $R = \gamma^{-\frac{1}{d+\alpha-2}}$  then the minimizer of  $I_{W_{d-2}, V_{\alpha, \gamma}}$  is given by

$$d\mu_{eq}(x) = \gamma(d+\alpha-2)r^{d+\alpha-3} \mathbb{1}_{[0, R]}(r) dr d\sigma(\theta) = C_{d, \alpha, \gamma} \|x\|^{\alpha-2} \mathbb{1}_{B(0, R)}(x) dx.$$

# The Next “Nice” Case: $s = d - 4$

## Corollary

For  $0 < s = d - 4$ , on  $\text{supp}(\mu)^\circ$ ,  $d\mu_{eq}(x) = -\Delta^2 V(x)dx$ .

# The Next “Nice” Case: $s = d - 4$

## Corollary

For  $0 < s = d - 4$ , on  $\text{supp}(\mu)^\circ$ ,  $d\mu_{eq}(x) = -\Delta^2 V(x)dx$ .

## Theorem (Chafaï, Saff, Womersley '22)

If  $0 \leq s = d - 4$ ,  $0 < \alpha < 2$ ,  $\gamma > 0$ , and  $R = \left(\frac{2}{\gamma(d+\alpha-2)}\right)^{\frac{1}{d+\alpha-4}}$  then the unique minimizer of

$$I_{W_{d-4}, V_{\alpha, \gamma}}(\mu) = \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \frac{1}{d-4} \|x-y\|^{4-d} + \gamma \frac{\|x\|^\alpha}{\alpha} + \gamma \frac{\|y\|^\alpha}{\alpha} d\mu(x)d\mu(y)$$

is given by

$$d\mu_{eq}(x) = \frac{d+\alpha-4}{d-2} d\sigma_R(x) + \frac{\gamma(2-\alpha)(d+\alpha-2)(d+\alpha-4)}{2(d-2)} r^{d+\alpha-5} \mathbb{1}_{[0,R]}(r) dr d\sigma(\theta).$$

# Quadratic External Field

Theorem (Various authors; Chafaï, M., Saff, Vu, Womersley)

For  $d \geq 2$ ,  $\alpha = 2$ , and  $\gamma > 0$ ,

- if  $d - 4 < s < d$ , then

$$d\mu_{eq}(x) = A_{s,d,R}(R^2 - \|x\|^2)^{1-\frac{d-s}{2}} \mathbb{1}_{B(0,R)}(x)dx,$$

- if  $-2 < s \leq d - 4$ , then  $\mu_{eq} = \sigma_R$ .

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- if  $-2 < s \leq d - 4$ , then  $\mu_{eq} = \sigma_R$ .

Theorem (Classical; Riesz, 1938; Björck, 1956)

Let  $V_{B(0,R)} = \begin{cases} 0 & \|x\| \leq R \\ \infty & \|x\| > R \end{cases}$ . If  $\mu_{eq}$  is the minimizer of  $I_{W_s, V_{B(0,R)}}$ , then

- if  $d - 2 < s < d$ ,

$$d\mu(x) = C_{d,s,R}(R^2 - \|x\|^2)^{-\frac{d-s}{2}} \mathbb{1}_{B(0,R)}(x)dx.$$

- if  $-2 < s \leq d - 2$ ,  $\mu = \sigma_R$

# Riesz Energy on Compacta

For a compact set  $A \subset \mathbb{R}^d$ , let  $V_A(x) = \begin{cases} 0 & x \in A \\ \infty & x \notin A \end{cases}$ , so the energy integral becomes

$$I_{W_s, V_A}(\mu) = \int_A \int_A \frac{1}{s} \|x - y\|^{-s} d\mu(x) d\mu(y).$$

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## Theorem

*Suppose there exists some  $\mu \in \mathcal{P}(A)$  with*

$$\int_A \int_A W_s(\|x - y\|) d\mu(x) d\mu(y) < \infty.$$

*Then  $\dim(A) > s$ .*



# Riesz Energy on Compacta

Theorem (Wallins, '52)

If  $d - 2 < s < d$ ,  $A$  is convex, and  $A^\circ \neq \emptyset$ , then  $\text{supp}(\mu_{eq}) = A$ .

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If  $-2 < s \leq d - 2$  and  $A$  is convex, then  $\text{supp}(\mu_{eq}) \subseteq \partial A$ .

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## Theorem (Björck, 1956)

If  $s < -1$ , then  $\text{supp}(\mu_{eq})$  consists only of the extreme points of the convex hull of  $A$ .

If  $s < -2$ , and  $\mu$  is a minimizer of  $I_{W_s, V_A}$ , then  $\#\text{supp}(\mu) \leq d + 1$ .

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For the cube  $A = [0, 1]^d$ , this means that for  $s < -1$ ,  $\mu_{eq}$  has finite support.

# Riesz Energy on the Ball

Consider  $I_{W_s, V_A}(\mu) = \int_A \int_A \frac{1}{s} \|x - y\|^{-s} d\mu(x) d\mu(y)$  for  $A = B(0, R)$ .

Theorem (Riesz, 1938; Björck, 1956)

If  $\mu$  is a minimizer of  $I_{W_s, V_{B(0,R)}}$ , then

- if  $d - 2 < s < d$ ,

$$d\mu(x) = C_{d,s,R} (R^2 - \|x\|^2)^{-\frac{d-s}{2}} \mathbb{1}_{B(0,R)}(x) dx.$$

- if  $-2 < s \leq d - 2$ ,  $\mu = \sigma_R$
- if  $s = -2$ ,  $\mu$  is supported on  $\mathbb{R}\mathbb{S}^{d-1}$  and has center of mass at the origin
- If  $s < -2$ ,  $\mu = \frac{1}{2}(\delta_p + \delta_{-p})$  for some  $p \in \mathbb{R}\mathbb{S}^{d-1}$ .

Acts as the limiting case  $\alpha \rightarrow \infty$  of

$$I_{W_s, V_{\alpha, \gamma}}(\mu) = \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \left( \frac{1}{s} \|x - y\|^{-s} + \gamma \frac{\|x\|^\alpha}{\alpha} + \gamma \frac{\|y\|^\alpha}{\alpha} \right) d\mu(x) d\mu(y).$$

# Some Open Problems

- What are sufficient conditions for a general  $V$  that will guarantee a compactly supported minimizer of  $I_{W_s, V}$ ? Are there any necessary and sufficient conditions?
- What are the explicit minimizers for other combinations of  $s$  and  $\alpha$ ?
  - When is the support a ball with disjoint concentric spheres?
  - When is it an annulus?
  - When are there singular components, and when are there not?
  - What do we get for  $s \leq -2$ ?
- Can we find similar bounds on the dimension of minimizers of  $I_{W_s, V}$  as with repulsive attractive kernels?
  - $d - 2 \leq s < d$ :  $\dim(\text{supp}(\mu_{eq})) = d$
  - $-2 < s < d - 2$ :  $\dim(\text{supp}(\mu_{eq})) \geq s + 2$
  - $s < -2$ :  $\text{supp}(\mu_{eq})$  is finite.

Can we improve on these bounds? Can we get upper bounds based on strength of attraction?

# Some Open Problems

- How might a lack of smoothness or continuity in  $V(x)$  affect the dimension of a minimizer?
- For electrons around a positive source at the origin, we would have  $V(x) = -\gamma \frac{1}{\|x\|}$ . However, Potential Theoretic methods generally require that  $W_s(x - y) + V(x) + V(y)$  be bounded from below on every compact subset of  $\mathbb{R}^d$ . How can we handle external fields with negative singularities?

Idea: If  $V(x) = -\infty$  for all  $x \in A \subset \mathbb{R}^d$ , let  $Q_{A,r} = \cup_{x \in A} B(r, x)$ . We can use Potential Theoretic methods to find a minimizing measure  $\mu_{eq,r}$  of  $I_{W_s, V}$  on  $\Omega_{A,r} = \mathbb{R}^d \setminus Q_{A,r}$ . By then taking  $r \rightarrow \infty$ , we should arrive at the optimal measure  $\mu_{eq}$  for  $I_{W_s, V}$  on  $\mathbb{R}^d$ .

## Thank you!

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