

# Embeddability of real hypersurfaces into hyperquadrics and spheres

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## Question

*When a real hypersurface  $M \subset \mathbb{C}^n$  admits a holomorphic transversal embedding into a hyperquadric  $\mathbb{H}_I^{2N-1} \subset \mathbb{C}^N$  of possibly larger dimension?*

- **Hyperquadrics:**

$$\mathbb{H}_l^{2N-1} := \left\{ -\sum_{i=1}^l |z_i|^2 + \sum_{i=l+1}^N |z_i|^2 = 1 \right\} \subset \mathbb{C}^N.$$

- **Transversal map  $F$  :**

$dF$  does not map  $T_p\mathbb{C}^n$  to  $T_{F(p)}\mathbb{H}_l^{2N-1}$  at  $p \in M$ .

- Chern-Moser theory

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## Various embedding theorems in geometry

- Differential Geometry: The Whitney embedding theorem

Embedding of general smooth manifolds into their models  
(real Euclidean spaces)

- Riemannian Geometry: The Nash embedding theorem

Embedding of general Riemannian manifolds into their  
models (real Euclidean spaces)

- Stein Space theory: The Remmert embedding theorem

Embedding of Stein manifolds into their models (complex Euclidean spaces)

- Pseudoconformal geometry: One may ask whether there is such an analogue.

Embedding of hypersurfaces into their models (hyperquadrics)

Webster, 1978

## Theorem

*(Webster, 1978, Duke Math. J.) Every real-algebraic Levi-nondegenerate real hypersurface  $M \subset \mathbb{C}^n$  is transversally holomorphically embeddable into a hyperquadric of suitable dimension and signature.*



However, not every real analytic Levi-nondegenerate hypersurface can be transversally holomorphically embedded into a hyperquadric of sufficient large dimension.

- Forstnerić 1986, Faran 1988  
Most real analytic strongly pseudoconvex hypersurface cannot be holomorphically embedded into any sphere.
- Forstnerić 2004  
Most real-analytic hypersurfaces do not admit a transversal holomorphic embedding into any real algebraic hypersurface, in particular, any hyperquadrics.

- Explicit Example:

## Theorem

(Zaitsev, 2008, *Math. Ann.*) The hypersurface in  $\mathbb{C}^2$  given by

$$\operatorname{Im} w = |z|^2 + \operatorname{Re} \sum_{k \geq 2} z^k \bar{z}^{(k+2)!}, (z, w) \in \mathbb{C}^2, |z| < \epsilon.$$

for any  $0 < \epsilon \leq 1$  is not transversally holomorphically embeddable into a hyperquadric of any dimension.

Motivated by Webster's theorem and embedding theorems in geometry:

Equivalently,

Is there a uniform bound for the minimal embedding dimension of  $M$  in terms of  $n$  :

## Theorem

*(Kossovskiy-X., to appear in Advances in Math.) For any integers  $N > n > 1$ , there exists  $\mu = \mu(n, N)$  such that a Zariski generic real-algebraic hypersurface  $M \subset \mathbb{C}^n$  of degree  $k \geq \mu$  is not transversally holomorphically embeddable into any hyperquadric  $\mathbb{H}_l^{2N-1} \subset \mathbb{C}^N$ .*

- We can give an explicit bound for  $\mu(n, N)$  :

$$\mu(n, N) = 2 + N - n + \binom{N(N+1)/2 + p(n, N)}{p(n, N)},$$

$$\text{where } p(n, N) = n - 1 + \frac{(n-1)n}{2} \binom{N-1}{n-1}.$$

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- When  $n = 2, N = 3$ , we have  $\mu(2, 3) = 18$ .

We now concentrate on the strongly pseudoconvex case:

## Question

*Is every compact real-algebraic strongly pseudoconvex real hypersurface in  $\mathbb{C}^n$  holomorphically embeddable into a sphere of sufficiently large dimension?*



# Main Results

In Huang-Li-X., 2015, I.M.R.N, the hypersurfaces  $M_\epsilon \subset \mathbb{C}^2$  are constructed:

$$M_\epsilon := \{(z, w) \in \mathbb{C}^2 : \varepsilon_0(|z|^8 + c\operatorname{Re}|z|^2 z^6) + |w|^2 + |z|^{10} + \varepsilon|z|^2 - 1 = 0\},$$

where  $0 < \varepsilon < 1, 0 < \varepsilon_0 \ll 1, 2 < c < \frac{16}{7}$ .

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- For  $0 < \epsilon < 1$ ,  $M_\epsilon$  is strongly pseudoconvex.
- $M_0$  has a Kohn-Nirenberg point at  $(0, 1)$ .

## Theorem

*(Huang-X., 2016) For sufficient small  $\varepsilon, \varepsilon_0$ ,  $M_\varepsilon$  cannot be locally holomorphically embedded into any sphere. More precisely, any holomorphic map that sends an open piece of  $M_\varepsilon$  to a unit sphere must be constant.*

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We thus give a negative answer to the question:

There exist compact, real algebraic, strongly pseudoconvex hypersurfaces that cannot be locally holomorphically embedded into any sphere.



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- Step 1 (c): A theorem of Chiappari  $\Rightarrow F$  extends to a holomorphic map in a neighborhood of  $\overline{D_\epsilon}$ .

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- Note  $p_0$  is on  $M_\varepsilon$  for every  $0 \leq \varepsilon < 1$ . Moreover,

$$Q_{p_0} = \{w = 1\}.$$

Recall

$$M_\varepsilon : \varepsilon_0(|z|^8 + c\operatorname{Re}|z|^2 z^6) + |w|^2 + |z|^{10} + \varepsilon|z|^2 - 1 = 0.$$

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- There is  $\tilde{p} \in Q_{p_0}$  such that  $\tilde{p} \in D_0$ .
- For  $0 < \varepsilon \ll 1$ ,  $\tilde{p} \in D_\varepsilon$ .
- $Q_{p_0} \cap M_\varepsilon$  is of real dimension one  $\Rightarrow$   
 $Q_{p_0} \cap M_\varepsilon$  has an accumulation point  $q_0$ .

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**Step 3:**  $F$  is a constant map.

- $F$  preserves the Segre varieties:

$$F(Q_{p_0} \cap U) \subset \tilde{Q}_{F(p_0)}.$$

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- By unique continuation, if  $q \in Q_{p_0} \cap M_\epsilon$ , then

$$F(q) \in \tilde{Q}_{F(p_0)} \cap \mathbb{S}^{2N-1} = \{F(p_0)\}.$$

$\Rightarrow F$  is not one-to-one near  $q_0$ , where  $q_0$  is an accumulation point of  $Q_{p_0} \cap M_\epsilon$ .

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This is a contradiction.

- Each

$$M_\varepsilon : \varepsilon_0(|z|^8 + c\operatorname{Re}|z|^2 z^6) + |w|^2 + |z|^{10} + \varepsilon|z|^2 - 1 = 0$$

can be transversally holomorphically embedded into the hyperquadric in  $\mathbb{C}^6$  with one negative Levi eigenvalue:

$$\mathbb{H}_1^{1,1} = \left\{ (z_1, \dots, z_6) \in \mathbb{C}^6 : \sum_{i=1}^5 |z_i|^2 - |z_6|^2 = 1 \right\}$$

by

$$F(z, w) = \left( \sqrt{\varepsilon_0} z^4, z^5, \sqrt{\varepsilon} z, w, \frac{1}{2} \sqrt{\varepsilon_0} c (z^7 + z), \frac{1}{2} \sqrt{\varepsilon_0} c (z^7 - z) \right).$$

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- A lot more examples can be constructed.

**Thank you very much for your attention!**